



#4

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/832,534

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2621

For: APPARATUS AND METHOD OF BIOMETRIC IDENTIFICATION OR
VERIFICATION OF INDIVIDUALS USING OPTICAL SPECTROSCOPY

Docket No.: 1023.1110102

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

CERTIFICATE UNDER 37 C.F.R. 1.10: The undersigned hereby certifies that this paper or papers, as described herein, are being deposited in the United States Postal Service, "Express Mail Post Office to Addressee" having an Express Mail mailing label number of: EL925260893US, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231 on this 30th day of July, 2001.

By Kathleen L. Boekley
Kathleen L. Boekley

Dear Sir:

To complete the above-captioned application, please find enclosed the "PART 2-COPY TO BE RETURNED WITH RESPONSE" copy of the NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION FILED UNDER 37 CFR 1.53(B) - FILING DATE GRANTED, a Declaration signed by the named inventors, and a check in the amount of \$1,30 (surcharge). Substitute drawings are filed concurrent with this Response to Notice to File Missing Parts. Permission is hereby granted to charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

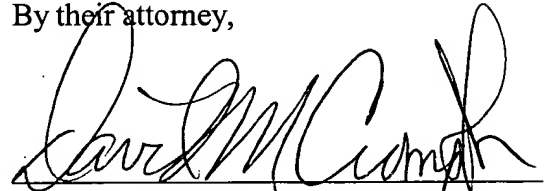
Any inquiry regarding the above-referenced matter may be directed to the undersigned representative.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

Dated: 7/30/01

A handwritten signature in black ink, appearing to read "David M. Crompton", written over a horizontal line.

David M. Crompton, Reg. No. 36,772
CROMPTON, SEAGER & TUTTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401
Telephone: (612) 677-9050
Facsimile: (612) 359-9349



07-31-01

Seager

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,534	04/11/2001	Robert K. Rowe	1023.1110102

CONFIRMATION NO. 3295

FORMALITIES LETTER



David M. Crompton
CROMPTON, SEAGER & TUFTE, LLC
331 Second Avenue South, Suite 895
Minneapolis, MN 55401-2246

Date Mailed: 05/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/02/2001 MAHMED1 00000019 09832534

02 FC:105

130.00 OP